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17	Attorneys for Plaintiff	
	ORACLE AMERICA, INC.	
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19	UNITED STATES DI	STRICT COURT
20	NORTHERN DISTRICT	OF CALIFORNIA
21	SAN FRANCISCO	O DIVISION
22	ORACLE AMERICA, INC.	Case No. 3:10-cv-03561-WHA
23	Plaintiff,	ORACLE AMERICA, INC.'S
24	v.	SECOND AMENDED INITIAL DISCLOSURES
25		Judge: Honorable William Alsup
26	GOOGLE, INC.	
	Defendant.	
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ORACLE AMERICA, INC.'S SECOND AMENDED INITIAL DISCLOSURES CASE No. 3:10-cv-03561-WHA pa-1480073

Pursuant to Federal Rule of Civil Procedure 26(a)(1) and 26(e), Plaintiff Oracle America, Inc. ("Oracle") hereby provides these supplemental and amended initial disclosures. Oracle has made a reasonable and good faith effort to make the initial disclosures provided herein, including providing general descriptions of documents, and identifying persons who may have knowledge of pertinent information, relating to the issues in this action. However, Oracle's investigation of its claims and defenses in this action is ongoing. Other potential witnesses and/or documents may be identified and become significant as discovery proceeds and as the case develops, and therefore, Oracle reserves the right to supplement these disclosures.

# I. INDIVIDUALS LIKELY TO HAVE DISCOVERABLE INFORMATION THAT ORACLE MAY USE TO SUPPORT ITS CLAIMS OR DEFENSES (FED. R. CIV. P. 26(a)(1)(A)(i)).

The persons Oracle believes are likely to have discoverable information that Oracle may use to support its claims and defenses are:

Name, Address, Telephone	Subject
Greg Bollella	Java development
Contact through counsel for Oracle	•
Roger Calnan	Java development and distribution
Contact through counsel for Oracle	-
Andrew Carr	Java distribution
Contact through counsel for Oracle	
Safra Catz	Oracle's business; Oracle's acquisition of Sun;
Contact through counsel for Oracle	Java business models, business plans, and
	associated financial data; license discussions
	between Oracle and Google
Neal Civjan	Java licensing and sales, including negotiations
Former Oracle employee	with Google
Patrick Curran	Java standards, JCP, and open Java
Contact through counsel for Oracle	
Bill Daly	Oracle financial data
Contact through counsel for Oracle	
Don Deutsch	Java standards, JCP, and open Java, Java
Contact through counsel for Oracle	revenues and business
Larry Ellison	History of Oracle; Oracle's business; Oracle's
Contact through counsel for Oracle	acquisition of Sun; Java business models and
	business plans; license discussions between
	Oracle and Google
Gustavo Galimberti	Java development, Java licensing, Java
Contact through counsel for Oracle	distribution and support

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2	Name, Address, Telephone	Subject
3	Craig Gering	Java development, licensing, and testing
4	Former Oracle employee	
4	Ivgen Guner	Oracle financial data
5	Contact through counsel for Oracle	
	Vineet Gupta	Java sales and licensing, including negotiations
6	Former Oracle employee	with Google
7	Steve Harris	Java development, distribution, licensing,
7	Contact through counsel for Oracle	business models, and business plans
8	Jeannette Hung	Java development
0	Contact through counsel for Oracle	
9	Thomas Kurian	Java development, distribution, licensing,
1.0	Contact through counsel for Oracle	business models, and business plans; license
10		discussions between Oracle and Google
11	Jacob Lehrbaum	Java licensing and copyrights
	Contact through counsel for Oracle	0.0.11.71.71
12	Matthew Mayerson	Software distribution
10	Contact through counsel for Oracle	Java business and revenues
13	Kerry McGuire	Java business and revenues
14	Contact through counsel for Oracle John Pampuch	Java VM taahnalagu
•	1	Java VM technology
15	Contact through counsel for Oracle Bill Pittore	Java VM development
1.0	Contact through counsel for Oracle	Java vivi development
16	Nandini Ramani	Java Development
17	Contact through counsel for Oracle	sava Development
	Mark Reinhold	Java development, distribution, licensing,
18	Contact through counsel for Oracle	business models, business plans, patent rights
19	5	and copyrights
19	Hasan Rizvi	Java development, distribution, licensing,
20	Contact through counsel for Oracle	business models, and business plans; license
		discussions between Oracle and Google
21	Susan Roach	Java development, distribution, licensing,
22	Contact through counsel for Oracle	business models, business plans, patent rights
22		and copyrights
23	Bill Shannon	Java development, distribution, licensing,
	Contact through counsel for Oracle	business models, business plans, patent rights
24		and copyrights
25	Param Singh	Mobile Java development and business plan
23	Contact through counsel for Oracle	
26	Guy Steele	Java development
25	Contact through counsel for Oracle	Tour Promine and head 1 to 1
27	Brian Sutphin	Java licensing and business, including
28	Contact through counsel for Oracle	negotiations with Google, Java business plans
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2	Name, Address, Telephone	Subject
3	Ken Glueck	License discussions between Oracle and
4	Contact through counsel for Oracle	Google; Java business models and business plans
5	Michael Pfefferlen Contact through counsel for Oracle	Java sales and licensing, including negotiations with Google
6	Adam Messinger	Java development, distribution, licensing,
7	Contact through counsel for Oracle Bhaskar Gorti	business models, and business plans Oracle's business
8	Contact through counsel for Oracle Jeet Kaul	Java licensing and business
9	Former Oracle employee	_
10	Kathleen Knopoff	Java licensing and business
10	Former Sun employee Leo Cizek	Jove licensing and business, including
11	Contact through counsel for Oracle	Java licensing and business, including negotiations with Google, Java business plans
	Lino Persi	Java licensing and business
12	Contact through counsel for Oracle	sava neensing and business
13	Noel Poore	Mobile Java development and business plan
	Contact through counsel for Oracle	
14	Geoffrey Morton	Java licensing and business
15	Contact through counsel for Oracle Ed Washington	Java licensing and business
16	Contact through counsel for Oracle	
	Govind Vedantham	Java licensing and business
17	Contact through counsel for Oracle	
18	Martin Lister Former Oracle Employee	Java licensing and business
1.0	Nachi Periakaruppan	Java licensing and business
19	Former Oracle Employee	sava neensing and business
20	Brian Faye	Java licensing and business
	Contract through counsel for Oracle	
21	Rajiv Mordani	Java development
22	Contact through counsel for Oracle	
	Joe (Huizhe) Wang	Java development
23	Contact through counsel for Oracle	Learner of U.S. Detect No. C 010 205
24	Lars Bak Google employee	Inventor of U.S. Patent No. 6,910,205
	Nedim Fresko	Inventor of U.S. Patent Nos. 5,966,702 and
25	121 Lincoln Way	7,426,720
26	San Francisco, CA 94122-2717	,,,==,,,==
20	Li Gong	Inventor of U.S. Patent Nos. 6,125,447 and
27	Mozilla Foundation	6,192,476
20	650 Castro Street, Suite 300	
28	Mountain View, CA 94041-2072	
	lgong@mozilla.com	

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Name, Address, Telephone	Subject
James Gosling	Inventor of U.S. Patent No. RE38,104
Google employee	
Robert Griesemer	Inventor of U.S. Patent No. 6,910,205
Google employee	
Richard Tuck	Inventor of U.S. Patent Nos. 5,966,702 and
343 Hill Street	6,061,520
San Francisco, CA 94114-2916	
Frank Yellin	Inventor of U.S. Patent No. 6,061,520
Google employee	
Representatives of Google, including witnesses	Android development, marketing and
identified in Google's initial and Second	distribution (including Open Handset Alliance),
Amended Disclosures and individuals included	business plans, infringement, profit models,
in Google's custodial collection	and revenues
Joshua Bloch	Android development, marketing and
Google employee	distribution (including Open Handset Alliance),
	business plans, infringement, profit models,
	and revenues
Dan Bornstein	Android development, marketing and
Google employee	distribution (including Open Handset Alliance),
	business plans, infringement, profit models,
Dill Durkes	and revenues
Bill Buzbee	Android development, marketing and
Google employee	distribution (including Open Handset Alliance),
	business plans, infringement, profit models, and revenues
Eric Chu	Java license negotiations between Google and
Google employee	Sun
Google employee Gregorz Czajkowski	Android development, marketing and
Google employee	distribution (including Open Handset Alliance),
Google employee	business plans, infringement, profit models,
	and revenues
Tim Lindholm	Java license negotiations between Google and
Google employee	Sun
Rich Miner	Java license negotiations between Google and
Google employee	Sun
Larry Page	Knowledge of Oracle's Java-related intellectual
Google employee	property; Android development, marketing and
	distribution (including Open Handset Alliance),
	business plans, infringement, profit models,
	and revenues; license discussions between
	Google and Oracle
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Oracle America, Inc.'s Second Amended Initial Disclosures Case No. 3:10-cv-03561-WHA pa-1480073

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2	Name, Address, Telephone	Subject
3	Andy Rubin	Android development, marketing and
4 5	Google employee	distribution (including Open Handset Alliance), business plans, infringement, profit models, revenues, and license negotiations between Google and Oracle
6	Eric Schmidt	Java development; knowledge of Oracle's Java-
	Google employee	related intellectual property; Android
7 8		development, marketing and distribution (including Open Handset Alliance), business
		plans, infringement, profit models, and revenues; license discussions between Google
9		and Oracle
10	Representatives of manufacturers and distributors of Android devices	Android distribution, revenues, infringement
11	Alan Brenner	Java development, distribution, licensing,
12	RIM/Blackberry employee	business models, business plans, patent rights and copyrights
13	Ethan Beard	Java license negotiations between Google and Sun
14	Facebook employee Rich Green	Java development, distribution, licensing,
15	Nokia employee	business models, business plans, patent rights and copyrights
16	Individuals identified by Google in response to Oracle's interrogatory as having been involved	Android development
17	in the development of Android	
18	Other former employees of Sun and Oracle as	Java development, distribution, licensing,
19	referenced in Google's Disclosures, including but not limited to:	business models, and business plans; license discussions between Oracle and Google; the
20	Noreen Krall	patents-in-suit; the asserted copyrights; and issues related thereto
21	Scott McNealy	
22	Individuals identified as custodians of documents by any party in response to	Issues raised by the documents in question.
23	discovery requests or by third party in response to a subpoena in this action.	
24	-	
25	All persons to be identified as expert witnesses pursuant to and at the time required by this	To be disclosed in accordance with the Court's rules regarding expert disclosure.
26	Court's orders regarding disclosure of such witnesses.	
27	All persons noticed for deposition and deposed by either Oracle or Google in this litigation.	Issues within the scope of the examination of the witness in his/her deposition.
28	J J	-

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#### **II. DOCUMENTS (FED. R. CIV. P. 26(a)(1)(A)(ii)).**

Oracle discloses and describes by category the following documents, electronically-stored information, data compilations and tangible things that are or may be in the possession, custody or control of Oracle that Oracle currently and reasonably believes it may use to support its claims or defenses:

- 1. U.S. Patent No. 6,125,447 and related files.
- 2. U.S. Patent No. 6,192,476 and related files.
- 3. U.S. Patent No. 5,966,702 and related files.
- 4. U.S. Patent No. 7,426,720 and related files.
- 5. U.S. Patent No. RE38,104 and related files.
- 6. U.S. Patent No. 6,910,205 and related files.
- 7. U.S. Patent No. 6,061,520 and related files.
- 8. U.S. Certificate of Copyright Registration for J2SE 1.4, the copyrighted work, and related files.
- 9. U.S. Certificate of Copyright Registration for J2SE 5.0, the copyrighted work, and related files.
- 10. U.S. Certificate of Supplemental Copyright Registration for J2SE 5.0 and related files.
- 11. Documents evidencing the conception, development, reduction to practice, and design of the inventions claimed by the patents at issue.
- 12. Documents relating to the history and development of the Java platform.
- 13. Java releases and related documentation.
- Documents evidencing sales, distribution, deployment, and use of Java products.
- 15. Documents offered as exhibits at deposition.
- 16. Java-related contracts, licenses, and pricing models.
- 17. Sun and Oracle Java business plans and financial results.

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18. Documents evidencing Google's knowledge of the Sun patent portfolio, including documents relating to licensing of the Java IP rights by Google and Google's participation in the Java Community Process.

- 19. Android releases and related documentation.
- 20. Google marketing, advertising, and press releases, and statements regarding Android, Android devices, Android distribution and deployment, and revenues attributable to Android.
- 21. Public and third-party reports, releases, and statements regarding the distribution and deployment of Android devices, and the impact of Android and Android devices on the use, distribution, and deployment of the Java platform and Java devices.
- 22. Documents relating to each type and category of damages described in Section III below, including license fees, revenue from and profitability of Java and related Oracle businesses, and Oracle's and Google's business models for the relevant lines of business.

The above documents are maintained primarily at one or more Oracle locations in California (Santa Clara, Redwood Shores, and Menlo Park) and Broomfield, Colorado, depending on the location of the various individuals identified above. Oracle has otherwise produced and is continuing to produce documents that Oracle reasonably believes it may use to support its claims or defenses.

#### INITIAL DISCLOSURES REGARDING COMPUTATION OF DAMAGES III. (FED. R. CIV. P. 26(a)(1)(A)(iii)).

Based on Court order (Dkt. No. 230), a revised and/or new damages expert report will provided on September 12, 2011, subject to possible supplementation thereafter. Oracle has otherwise provided information regarding the computation of damages in response to Google's interrogatories, and Oracle incorporates both by reference into these amended disclosures. As noted previously, Oracle has not completed its calculation for monetary damages as it will require expert evaluation of information in Google's possession and further supplementation after further

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2	productions of documents by Google.	Oracle otherwise incorporates by reference its initial
3	disclosures.	
4		RES REGARDING INSURANCE
5	(FED. R. CIV. P. 26(a)	(1)(A)(IV)).
6	Oracle is unaware of any insura	nce agreement under which an insurance business may be
7	liable to satisfy all or part of a judgmen	at in this action or to indemnify or reimburse for payments
8	made to satisfy any judgment.	
9	Dated: August 10, 2011	BOIES, SCHILLER & FLEXNER LLP
10		Dry /a/ Alama a Duth orford
11		By: <u>/s/ Alanna Rutherford</u> Alanna Rutherford
12		Attorneys for Plaintiff ORACLE AMERICA, INC.
13		ORACLE AMERICA, INC.
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#### **CERTIFICATE OF SERVICE**

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I declare that I am employed with the law firm of Morrison & Foerster LLP, whose address is 755 Page Mill Road, Palo Alto, California 94304-1018. I am not a party to the within cause, and I am over the age of eighteen years.

5

I further declare that on August 10, 2011, I served a copy of:

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### ORACLE AMERICA, INC.'S SECOND AMENDED INITIAL DISCLOSURES

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**BY ELECTRONIC SERVICE [Fed. Rule Civ. Proc. rule 5(b)]** by electronically mailing a true and correct copy through Morrison & Foerster LLP's electronic mail system to the e-mail address(es) set forth below, or as stated on the attached service list per agreement in accordance with Federal Rules of Civil Procedure rule 5(b).

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CERTIFICATE OF SERVICE CASE No. 3:10-cv-03561-WHA pa-1480073

### Case 3:10-cv-03561-WHA Document 1860-4 Filed 05/10/16 Page 12 of 12

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21	1 u.x. 510.500.7000	Fax: 415.397.7188
22	I declare under penalty of perjury that t	he foregoing is true and correct
23		
24	Executed at Palo Alto, California, this	10th day of August, 2011.
25		
26		
27	Yuka Teraguchi	/s/ Yuka Teraguchi
28	(typed)	(signature)
20		